

Attorney Docket No. 2847-1-001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S)

: Heightman et al

**EXAMINER:** 

Not Assigned

SERIAL NO.

10/501,808

ART UNIT

Not Assigned

**FILED** 

: July 20, 2004

FOR

FORMULATION FOR THE ADMINISTRATION OF MEDICINAL

**SUBSTANCES** 

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on November 2, 2004.

Lois A. Snure

(Name of Depositor)

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The documents forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

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Enclosed please find copies of References AA to CG listed on the attached Form PTO-1449. No fee is believed due for the filing of this statement inasmuch as it is being filed before the mailing of the first official action on the merits. However, should the Patent and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

./pavid Smith

Aftorney for Applicants Registration No. 39,839

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, New Jersey 07601 (201) 487-5800

Sheet 1 of

		Sheet 1 of 2
Form PTO-1449 IRSY. 7.801 U.S. Department of Commerce	ATTORNEY DOCKET NO.	2847-1-001
Patent and Trademark Office	SERIAL NO.	10/501,808
LIST OF DOCUMENTARY INFORMATION	APPLICANT	Nicholas John Heightman et al
CITED BY APPLICANT (Use several sheets if necessary)	FILING DATE	July 20, 2004
	GROUP	Not Yet Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5,955,123	Sep.21, 1999	Daggy			Oct. 21, 1996
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
ВА	1,106,882	02.03.67	Great Britain			
вв	1,041,600	04.02.65	Great Britain			
вс	0 068 566	17.06.82	EP			Claims translated
BD	0 146 987	27.11.84	EP			
BE	0 179 442	22.10.85	EP			
 BF	0 179 441	22.10.85	EP			
BG	0 179 440	22.10.08	EP			
вн	0 179 439	22.10.85	EP			
ВІ	0 347 014	05.06.89	EP			
BJ	0 435 556	19.12.90	EP			
вк	2 353 934	09.09.99	Great Britain			
BL	WO88/04554	30.06.88	PCT			
вм	WO90/09108	23.08.90	PCT			
BN	WO96/31129	10.10.96	PCT			

**EXAMINER:** 

DATE CONSIDERED:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
во	WO98/53704	03.12.98	РСТ
BP	WO00/15047	23.03.00	PCT
 BQ	WO00/21504	20.04.00	PCT
BR	WO99/48372	30.09.99	РСТ

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	BNF Press Information, Functional Foods: Cholesterol lowering spreads
 СВ	Russett, Chemurgy Research Notes, Lecithin Use in Baked Dog Foods and Treats, 05/01/97
œ	Wondu Holdings Pty Limited, Rural Industries Research & Development Corporation, New Pharmaceutical, Nutraceutical and Industrial Products, November 2000
CD	Nutraceuticals Worlds, January/February 1999, Functional Food Line may give Kellogg a wake- up call - New 'Ensemble" Line expands cereal-makers horizons
 Œ	Food Technology (2000) 54(10)82-86, Genes and Diet: The Specialized Role Ingredients May Play
CF	Food Technology (1998) 52(10)66-70, Polly wants aNutraceutical?
Œ	Nutraceuticals World January/February 1999, Energy Bars

XAMINER:

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